

10-921 U.S. PTC

Attorney Docket No.	ORT-1588
First Inventor	Scot L. Dax et al
Title	Amine and Amide Derivatives as Ligands for the Neuropeptide Y Y5 Receptor Useful in the Treatment of Obesity and Other Disorders
Express Mail Label No.	FI 7106088501 US

(only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 116]  
(Preferred arrangement set forth below)
- Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

☐ Drawing(s) (35 USC 113) [Total Sheets  
Oath or Declaration [Total Pages 1

- a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ CD-ROM or CD-R in duplicate, large  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement  
(IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certifications under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.

17. ☐ Other

- 6.
- ☐
- Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: 09/626,856, filed July 21, 2000.

Prior application information: Examiner T. Truong      Group Art Unit: 1624

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **000027777** or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.  
Address: Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003 USA

## 20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Ralph R. Palo at:

Telephone: (732) 524-2818 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Ralph R. Palo	Reg. No. 29,486
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SIGNATURE

DATE	February 7, 2002
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DATE	February 7, 2002
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**FEE TRANSMITTAL***Complete if Known*

Application Number	
Filing Date	February 7, 2002
First Named Inventor	Scott L. Dax et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	ORT-1588

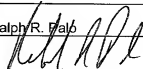
**FEE CALCULATION**

CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	10 - 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	1 - 3 =	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$280.00	
			TOTAL FEES	\$ 740.00

**METHOD OF PAYMENT**

- ☒ Please charge Deposit Account No. 10-0750/ORT-1588/RRP in the amount of \$740.00. Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ORT-1588/RRP. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>
Typed or Printed Name	Ralph R. Falo	Reg. No. 29,486
Signature		<b>Deposit Account No. 10-0750</b>
		Date: 02/07/2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scott L. Dax et al

For : AMINE AND AMIDE DERIVATIVES AS LIGANDS FOR THE  
NEUROPEPTIDE Y Y5 RECEPTOR USEFUL IN THE TREATMENT  
OF OBESITY AND OTHER DISORDERS

Express Mail Certificate

"Express Mail" mailing number: EL710608850US

Date of Deposit: February 7, 2002

I hereby certify that this complete divisional application, including specification pages, claims, Preliminary Amendment, Declaration and Power of Attorney from previous case is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)

Karen Hall-Morgan  
(Signature of person mailing paper or fee)